



Jfxd

11 7

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79327

Chiaki SOTOWA, et al.

Appln. No.: 10/585,205

Group Art Unit: Not Yet Assigned

Confirmation No.: 9588

Examiner: Not Yet Assigned

Filed: July 3, 2006

For: NEGATIVE ELECTRODE MATERIAL FOR LITHIUM BATTERY, AND LITHIUM BATTERY

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filings a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

JP 11-176442, KR 1995-7003212, KR 2001-0110680, KR 2000-0023090 and KR 2003-0033492 were cited in a Korean Office Action for counterpart Korean Application No. 2006-7013071 dated April 27, 2007, copy attached.

Additionally, in compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits English language Abstracts for KR 2003-0033492, WO 95/00977, WO 2000/58536, JP 2000-149951 and JP 2001-076726. Also, Applicant submits machine translations for KR 1995-7003212, KR 2001-0110680 and KR 2000-0023090.

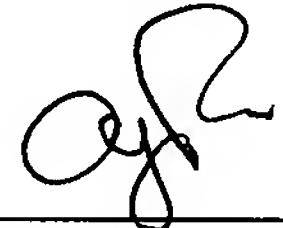
Also, in compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant states that WO 95/00977 and U.S. Patent No. 5,658,691 correspond to KR 1995-7003212; WO 2000/58536 corresponds to KR 2001-0110680; JP 2000-149951 and JP 2001-076726 correspond to KR 2000-0023090.

JP 11-176442 was previously submitted in the Information Disclosure Statement filed July 3, 2006.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

Respectfully submitted,



Abraham J. Rosner
Registration No. 33,276

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: June 27, 2007

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Chiaki SOTOWA, et al.

Appln. No.: 10/585,205

Confirmation No.: 9588

Filed: July 3, 2006

Docket No: Q79327

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned



For: NEGATIVE ELECTRODE MATERIAL FOR LITHIUM BATTERY, AND LITHIUM BATTERY

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

A handwritten signature of Abraham J. Rosner.

Abraham J. Rosner
Registration No. 33,276

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: June 27, 2007

Substitute for Form 1449 A & B/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/585,205
(use as many sheets as necessary)				Confirmation Number	9588
				Filing Date	July 3, 2006
				First Named Inventor	Chiaki SOTOWA
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	Q79327
Sheet <u>1</u> of <u>1</u>					

JUN 27 2007

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US 5,658,691		08-19-1997	SUZUKI et al.
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
		WO	95/00977		01-05-1995	TORAY INDUSTRIES	Abstract
		KR	1995-7003212		08-23-1995	TORAY INDUSTRIES	Yes
		KR	2000-0023090		04-25-2000	NIPPON STEEL CORPORATION	Yes
		JP	2000-149951		05-30-2000	NIPPON STEEL CORP	Abstract
		WO	00/58536		10-05-2000	SHOWA DENKO KK	Abstract
		JP	2001-76726		03-23-2001	NIPPON STEEL CORP	Abstract
		KR	2001-0110680		12-13-2001	SHOWA DENKO KABUSHIKI KAISHA OHASHI	Yes
		KR	2003-0033492		05-01-2003	SAMSUNG SDI CO LTD	Abstract

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶

Examiner Signature		Date Considered
--------------------	--	-----------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.